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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/812,814	03/21/2001	Takashi Yugami	826.1716	1934
21171 7	7590 07/01/2004		EXAMINER	
STAAS & HA	ALSEY LLP		GART, MA	TTHEW S
1201 NEW YO	ORK AVENUE, N.W.		ART UNIT	PAPER NUMBER
WASHINGTO	N, DC 20005		3625	
			DATE MAIL ED: 07/01/2004	1

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)	\ <b>n</b>
	09/812,814	YUGAMI ET AL.	
Office Action Summary	Examiner	Art Unit	
	Matthew s Gart	3625	
The MAILING DATE of this communication apperiod for Reply	pears on the cover sheet with the c	orrespondence ad	dress
A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a repl - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b).	I36(a). In no event, however, may a reply be timely within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	nely filed s will be considered timely the mailing date of this co D (35 U.S.C. § 133).	/. mmunication.
Status			
1)⊠ Responsive to communication(s) filed on <u>24 №</u>	<u>lay 2004</u> .		
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This	s action is non-final.		
3) Since this application is in condition for allowa	nce except for formal matters, pro	secution as to the	merits is
closed in accordance with the practice under <i>t</i>	Ex parte Quayle, 1935 C.D. 11, 45	33 O.G. 213.	
Disposition of Claims			
4)⊠ Claim(s) <u>1,4 and 6-11</u> is/are pending in the ap	plication.		
4a) Of the above claim(s) 2,3 and 5 is/are with			
5) Claim(s) is/are allowed.			
6)⊠ Claim(s) <u>1,4 and 6-11</u> is/are rejected.			
7)☐ Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction and/o	or election requirement.		
Application Papers			
9)☐ The specification is objected to by the Examine	er.		
<u> </u>	epted or b) objected to by the E	Examiner.	
Applicant may not request that any objection to the			
Replacement drawing sheet(s) including the correct	tion is required if the drawing(s) is obj	ected to See 37 CF	R 1.121(d).
11)☐ The oath or declaration is objected to by the E	xaminer. Note the attached Office	Action or form PT	O-152.
Priority under 35 U.S.C. § 119			
12)☐ Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	-(d) or (f).	
a) ☐ All b) ☐ Some * c) ☐ None of:	- , ,		
1.☐ Certified copies of the priority document	s have been received.		
2. Certified copies of the priority document	s have been received in Application	on No.	

Attachment(s)

1)	$\bowtie$	Notice of	References	Cited	(PTO-892)
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2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date \_\_\_\_\_.

4) Interview Summary (PTO-413	3
Paner No(s)/Mail Date	

5) Notice of Informal Patent Application (PTO-152)

6) 🔲 Other: \_\_\_\_.

3. Copies of the certified copies of the priority documents have been received in this National Stage

application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

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#### **DETAILED ACTION**

Claims 1, 4 and 6-11 were amended and claims 2, 3 and 5 were canceled via the Attorney's response filed on 5/24/2004. Claims 1, 4 and 6-11 are pending in the instant application.

## Claim Rejections - 35 USC §101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefore, subject to the conditions and requires of this title.

Claims 1, 4 and 6-11 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

The invention as recited in the claims is merely an abstract idea that is not within the technological arts. Mere ideas in the abstract (i.e., abstract idea, law of nature, natural phenomena) that do not apply, involve, use, or advance the technological arts fail to promote the "progress of science and the useful arts" (i.e., the physical sciences as opposed to social sciences, for example) and therefore are found to be non-statutory subject matter.

Mere recitation in the preamble (i.e., intended use) or mere implication of employing a machine or article of manufacture to perform some or all of the recited steps does not confer statutory subject matter to an otherwise abstract idea. "A discount sale management, etc." mere nominal use of a component, albeit within the

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technological arts, does not confer statutory subject matter to an otherwise abstract idea if the component does not affect or affect the underlying process.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1, 4 and 6-11 rejected under 35 U.S.C. 102(e) as being anticipated by Kaminsky U.S. Patent Application Publication No. 2001/0047308.

Referring to claim 1. Kaminsky disclose a discount sale management method of managing a selling price, comprising:

- Counting a sales quantity (Fig. 3, "Qty");
- Setting a discount price as the selling price, the discount price produced by a
  discount from an original price, while the sales quantity is less than a
  predetermined quantity (paragraph 0049 and paragraph 0050);
- Setting the original price as the selling price at the time the sales quantity
   becomes equal to or greater than the predetermined quantity (paragraph 0050);
- Lowering the discount price with a passage of time (paragraph 0050, "Current price 38 will drop a certain percentage over a given period of time.").

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- Providing a discount price transition table which indicates a schedule of change of the discount price for a purchaser (paragraph 0050);
- Accepting, from the purchaser, a purchase reservation specifying a desired purchase price (paragraph 0052, "Shoppers thus make anonymous demands which will be accepted or declined individually within twenty-for hours."); and
- Setting a purchase reservation time of the purchase reservation when the selling price reaches the desired purchase price (paragraph 0052).
  - Referring to claim 4. Kaminsky further discloses a method comprising:
- Setting the discount price to a minimum discount price (paragraph 0044, "The
  business entity can set either a floor, or minimum price for the product they wish
  to offer..."); and
- Holding the minimum discount price as the selling price after the selling price reaches the minimum discount price, as long as the sales quantity is less than the predetermined quantity (paragraph 0039).

Referring to claim 6. Kaminsky further discloses a method comprising allocating a product to the purchase reservation if the sales quantity is less than the predetermined quantity when the selling price reaches the desired purchase price (paragraph 0049 and paragraph 0050).

Referring to claim 7. Kaminsky further discloses a method comprising canceling the purchase reservation if the sales quantity is equal to or greater than the predetermined quantity when the selling price reaches the desired purchase price (paragraph 0056).

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Referring to claims 8-11. Claims 8-11 are rejected under the same rationale as set forth above in claims 1-7.

### Response to Arguments

Applicant's arguments filed 5/24/2004 have been fully considered but they are not persuasive.

Provided is a copy of provisional application Serial No. 60/193,739 (to which priority was claimed by Kaminsky et al.). Provisional application Serial No. 60/193739 does provide adequate support for Patent Application Publication US 2001/0047308, thus making Patent Application Publication US 2001/0047309 proper prior art under 35 USC 102(e).

The Examiner notes, provisional application Serial No. 60/193739 discloses a price transition table which indicates a schedule of change of the discount price for purchaser (Provisional application Serial No. 60/193739, page 3).

#### Conclusion

Any inquiry concerning this communication should be directed to Matthew Walker et al. whose telephone number is 703-305-5355. This examiner can normally be reached Monday-Friday, 8:30AM-5:30PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ms. Wynn Coggins can be reached on 703-308-1344. The fax phone

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numbers for the organization where this application or proceeding is assigned are 703-746-7239 for regular communications and 703-746-7238 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

**MSG** 

June 27, 2004

A. Smith